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December 31, 2002

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**Technology Center 2100** 

Re:

Commissioner for Patents

Washington, D.C. 20231

U.S. Patent Application No.: 09/808,911

For: ACCESS CONTROL PROTOCOL FOR USER PROFILE MANAGEMENT

Inventors: Siegel, Eric Victor et al.

Filed: March 14, 2001 Our Ref. No.: KAQ-002

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

- 1. Information Disclosure Statement;
- 2. Copy of the International Search Report;
- 3. PTO Form 1449;
- 4. Copies of references cited in PTO Form 1449 (3); and
- 5. A Return Postcard.

No additional costs are believed to be due in connection with the filing of this Information Disclosure Statement. However, please charge any necessary fees in connection with the enclosed statement to our Deposit Order Account No. 12-0080. For this purpose, a duplicate of this sheet is attached.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on:

December 31, 2002

Date

Kevin J. Canning, Esq., Reg. No. 35,470

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

Kevin J. Canning, Esq. Attorney for Applicants

Registration No. 35,470





## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re the application of: Siegel, Eric Victor et al.

Serial No.: 09/808,911

Filed: March 14, 2001

For: ACCESS CONTROL PROTOCOL FOR USER

PROFILE MANAGEMENT

Attorney Docket No.: KAQ-002

Group Art Unit: 2153

Examiner: Not Yet Assigned

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**Technology Center 2100** 

Commissioner for Patents Washington, D.C. 20231

Certificate of First Class Mailing (37 CFR §1.8(a))

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December 31, 2002

Date of Signature and of Mail Deposit

By:

Kevin J. Canning, Esq. Registration No. 35,470

**Attorney for Applicants** 

## INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicants and their Attorney have become aware of the following publications and information, cited in an International Search Report mailed November 29, 2002 during the prosecution of PCT/US02/07814, which corresponds to the above-referenced application. In accordance with 37 CFR §1.97, Applicants hereby submit these publications for the Examiner's consideration. These publications are cited on the enclosed PTO Form 1449, and a copy of the Report and each publication cited thereon are enclosed as well.

Serial Number: 09/808,911 Page -2- Group Art Unit: 2153

This statement is not to be interpreted as a representation that the cited publications are material, that an exhaustive search has been conducted, or that no other relevant information exists. Nor shall the citation of any publication herein be construed *per se* as a representation that such publication is prior art. Moreover, Applicants understand that the Examiner will make an independent evaluation of the cited publications.

In accordance with 37 CFR §1.97(e)(1), the undersigned hereby certifies that each item contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application *for the first time* not more than three months prior to the filing of this statement. Furthermore, in accordance with 37 CFR §1.704(d), Applicants note that this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of this statement.

Respectfully submitted, LAHIVE & COCKFIELD, LLP

Kevin J. Canning, Esq. Registration No. 35,470

Mur J.

Attorney for Applicants

28 State Street Boston, MA 02109 (617) 227-7400

Date: December 31, 2002

KJC/ham Enclosures

APPLICANT	FACSIMILE	OF FORM PTO-1449	U.S. DEF	PARTMENT OF	ATTY DOCKET NO		STIEET SERIAL NO.	1 of 1	
REV 7-80		COMMERCE PATENT AND TRADEMARK OFFICE .			KAQ-002 09/808,911				
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING IF APPRI	DAT
	A1	5,907,607	05/99	Waters et al.		379	207	-	
	A2	6,338,088 B1	01/02	Waters et al.		709	226		
	А3	6,442,588 B1	08/02	Clark et al.		709	203		
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\*EXAMINER:

Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.